

05-21-04

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PATENTS
101102-0002

#26



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAY 26 2004

Examiner: Thomas J. [REDACTED]
OFFICE OF PETITIONS

In Re The Application of:)
Wallace A. Ritchie et al.)
Serial No.: 09/023,259)
Filed: February 13, 1998)
For: METHOD AND APPARATUS FOR)
CHINESE CHARACTER TEXT)
INPUT)

Art Unit: 2747

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
May 20, 2004

"Express Mail" Mailing-Label Number: EV 335 589 223 US

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PETITION TO REVIVE AN UNAVOIDABLY ABANDONED APPLICATION
UNDER 37 C.F.R. §1.137(a)

Pursuant to 37 C.F.R. §1.137(a), we respectfully petition the Commissioner to re-vive the above-referenced patent application, which was unavoidably abandoned for failure to respond to a Decision by the Petitions Office. The entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(a) was unavoidable.

REMARKS

On December 14, 2000, a Notice of Abandonment was issued, which indicated that the above-identified patent application had been abandoned for failure to respond to the Office Action dated on June 6, 2000.

On January 12, 2001, a Petition for Revival of an Application for a Patent Abandoned Unintentionally Under 37 C.F.R. § 1.137(b) was filed, along with the Petition fee of \$1240, a Request for Continued Examination (RCE) transmittal and the filing fee of \$710 were included. In response, a Decision on Petition was mailed by the U.S. Patent and Trademark Office on May 11, 2001. The Decision stated that the Petition for Revival was dismissed on the grounds that the required reply to the Office Action did not accompany the Petition. On July 11, 2001, Applicants requested reconsideration of the dismissal of the Petition. A Change of Correspondence Address accompanied this letter, and copies of both documents and a copy of a date-stamped return postcard bearing the USPTO date stamp of July 11, 2001 are enclosed herewith. No response or action on the request was received by Applicants' representative.

Upon status inquiries to the U.S. Patent and Trademark Office, Petitions Branch, the undersigned was informed that the Request for Reconsideration was denied and the application was dismissed in July of 2001. However, Applicants' representatives never received notification of this Dismissal.

The notification may have been mailed to Applicants' prior address of 30 Rowes Wharf, Boston, MA 02210, despite the fact that a change of correspondence address was

filed on July 11, 2001, notifying the U.S. Patent and Trademark Office of a change in the correspondence address of Applicants' representative.

Applicants never received a decision on the Dismissal and now, therefore, respectfully request revival of the above-identified patent application.

REQUIRED REPLY

Pursuant to 37 C.F.R. §1.137(a)(1), the required reply, being an RCE which relies upon the "previously submitted amendment" dated March 17, 2000, is being filed herewith.

Pursuant to 37 C.F.R. §1.137(a)(2), the petition fee set forth in §1.17(l) of \$110 is being filed herewith.

The entire delay from the due date for reply to the filing of this Petition was unavoidable.


ALTERNATIVE PETITION

In the alternative, should the Petitions Officer determine that the application is not unavoidably abandoned, Applicants hereby request that this Petition be considered as a Petition to Revive an Unintentionally Abandoned Application pursuant to 37 C.F.R. §1.137(b), in which case Applicants hereby respectfully submit that the entire delay in filing the required reply from the due date for the reply to the filing of this Petition was also unintentional. In such a case, the Commissioner is requested to charge the deficiency in fee payment to our Deposit Account No. 03-1237.

Please do not hesitate to contact the undersigned in order to advance the prosecution of this application in any respect.

Please charge any additional fees that may be required by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,



Rita M. Rooney
Reg. No. 30,585
CESARI AND McKENNA, LLP
88 Black Falcon Avenue
Boston, MA 02210-2414
(617) 951-2500



PTO/SB/64 (10-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED
UNINTENTIONALLY UNDER 37 CFR 1.137(b)**

Docket Number (Optional)

101102-0002

First named inventor: RITCHIE

Application No. : 09/023,259

Group Art Unit : 2644

Filed: 02/13/98

Examiner: THOMAS, J

Title: METHOD AND APPARATUS FOR CHINESE CHARACTER TEXT INPUT

Attention: Office of Petitions
Assistant Commissioner for Patents
Box DAC
Washington, D.C. 20231NOTE: If information or assistance is needed in completing this form, please contact Petitions
Information at (703) 305-9282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus an extensions of time actually obtained.

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee —required for all utility and plant applications filed before June 8, 1995; and for all design applications; and
- (4) Statement that the entire delay was unintentional.

1. Petition fee☐ Small entity-fee \$ _____ (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27.☒ Other than small entity - fee \$ 1,240 (37 CFR 1.17(m))**2. Reply and/or fee****A. The reply and/or fee to the above-noted Office action in**the form of an RCE (identify type of reply):

- ☐ has been filed previously on _____
☒ is enclosed herewith.

B. The issue fee of \$ _____

- ☐ has been paid previously on _____
☐ is enclosed herewith.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

3. Terminal disclaimer with disclaimer fee

- ☒ Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- ☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ _____ for a small entity or \$ _____ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c)(III)(C) and (D))].

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1/12/01
Date

Telephone
Number: (617) 951-3074

B.C.
Signature
BRIAN C. DAUPHIN / CESARI & MCKENNA, LLP
Typed or printed name
88 BLACK FALCON AVE.
Address
BOSTON, MA 02210

- Enclosures: ☒ Fee Payment
☒ Reply
☐ Terminal Disclaimer Form
☐ Additional sheets containing statements establishing unintentional delay
☐ Other: _____

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box DAC, Washington, D.C. 20231.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 308-6916.

Date

Signature

Type or printed name of person signing certificate



PATENTS
101102-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Wallace A. Ritchie et al.

Serial No.: 09/023,259

Filed: February 13, 1998

For: METHOD AND APPARATUS FOR
CHINESE CHARACTER TEXT
INPUT

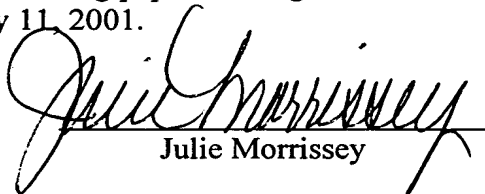
)
)
) Examiner: Thomas, J.
)
)
)

) Art Unit: 2747
)
)
)

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
July 11, 2001

CERTIFICATE OF TRANSMISSION

I hereby certify that the following paper is being facsimile transmitted to the Patent and Trademark Office on July 11, 2001.


Julie Morrissey

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

CHANGE OF CORRESPONDENCE ADDRESS

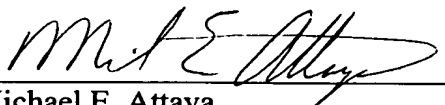
Pursuant to 37 C.F.R. §1.33(d), we request that the correspondence address for the above-identified patent be changed to:

Michael E. Attaya
Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA02210

Please charge any additional fee occasioned by this paper to our Deposit Account

No. 03-1237.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Michael E. Attaya", written over a horizontal line.

Michael E. Attaya
Reg. No. 31,731
CESARI AND MCKENNA, LLP
88 Black Falcon Avenue
Boston, MA 02210-2414
(617) 951-2500



Patent and Trademark Office/SB/17 (10-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

110

Complete if Known

Application Number	09/023,259
Filing Date	February 13, 1998
First Named Inventor	Wallace A. Ritchie et al.
Examiner Name	Thomas, J.
Group Art Unit	2747
Attorney Docket No.	101102-0002

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit
Account
Number

03-1237

Deposit
Account
Name

Cesari and McKenna, LLP

The Commissioner is authorized to: (check all that apply)
Charge any deficiency or

☐ Charge fee indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Fee from Claims below Fee Paid

Total Claims - 20** = x =

Independent Claims - 3** = x =

Multiple Dependent =

<u>Large Entity</u>		<u>Small Entity</u>		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)

**or number previously paid, if greater; For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English Specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility Issue fee (or reissue)	
1502	470	2502	235	Design Issue fee	
1503	630	2503	315	Plant Issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				Petitions fee (Section 1.17(1))	110
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$)
					110

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Rita M. Rooney	Registration No. (Attorney/Agent)	30,585	Telephone	(617) 951-2500
Signature		Date	May 20, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

N/A
MEA
Date Due: 7/11/01
Date Mailed: 7/11/01
Date Filed: February 13, 1998
Inventor(s): Wallace A. Ritchie et al.

File No.: 101102-0002
Serial No.: 09/023,259
Group Art Unit: 2747

Title: METHOD AND APPARATUS FOR CHINESE CHARACTER TEXT INPUT

Honorable Commissioner of Patents and Trademarks: We are forwarding herewith:

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☒ copy of return postcard date stamped from the USPTO

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☒ copy of Fee Transmittal, Petition for Revival of An Application for Patent Abandoned Unintentionally Under 37CFR 1.137(b), Request for Continued Examination (RCE) Transmittal, copy of two checks as filed on 1/12/01

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CESARI & MCKENNA

Date Due: 7/11/01
Date Mailed: 7/11/01
Date Filed: February 13, 1998
Inventor(s): Wallace A. Ritchie et al.
Title: METHOD AND APPARATUS FOR CHINESE CHARACTER TEXT INPUT

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Kindly have the mail room stamp this card and return it to us so that we may know that the above mentioned papers were duly received.

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CESARI and McKENNA, LLP

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BOSTON MA 02210-2414**

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747/ 32

F03

PATENTS
101102-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Wallace A. Ritchie et al.

Serial No.: 09/023,259

Filed: February 13, 1998

For: METHOD AND APPARATUS FOR
CHINESE CHARACTER TEXT
INPUT

Examiner: Thomas, J.

Art Unit: 2747

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
July 11, 2001

EXPRESS-MAIL DEPOSIT

“Express Mail” Mailing-Label Number: EL 705604339US

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X copy of return postcard date stamped from the USPTO

X letter (which was originally faxed)
X copy of Fee Transmittal, Petition for Revival of An Application for Patent Abandoned Unintentionally Under 37CFR 1.137(b), Request for Continued Examination (RCE) Transmittal, copy of two checks as filed on 1/12/01



PATENTS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAY 26 2004

OFFICE OF PETITIONS

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Serial No.: 09/023,259)
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CHINESE CHARACTER TEXT)
INPUT)

Examiner: Thomas, J.

Art Unit: 2747

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
May 20, 2004

EXPRESS-MAIL DEPOSIT

"Express Mail" Mailing-Label Number: EV 335 589 223 US

The following papers are being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

X Fee Transmittal Letter
X Petition to Revive an Unavoidably
Abandoned Application
X Return Postcard

X Check No. 28517 for \$110.00
X copies of previously filed correspon-
dence with USPTO, as noted in Petition



PATENTS
101102-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Wallace A. Ritchie et al.

Serial No.: 09/023,259

Filed: February 13, 1998

For: METHOD AND APPARATUS
FOR CHINESE CHARACTER
TEXT INPUT

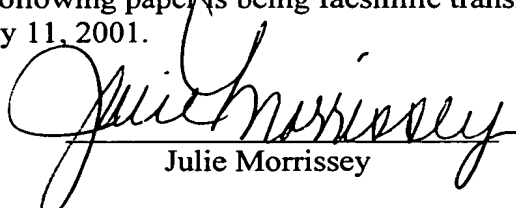
Examiner: Thomas, J.

Art Unit: 2747

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
July 11, 2001

CERTIFICATE OF TRANSMISSION

I hereby certify that the following paper is being facsimile transmitted to the Patent and Trademark Office on July 11, 2001.


Julie Morrissey

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

LETTER

On December 22, 2000, we received a Notice of Abandonment mailed December 14, 2000, which indicated that the above-identified application had been abandoned for failure to respond to an Office Action mailed June 6, 2000. On January 12, 2001, a Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 C.F.R. 1.137(b) was filed, along with the petition fee of \$1240.00, a Request for Contin-

ued Examination Transmittal and the filing fee of \$710. Those papers were received by the United States Patent and Trademark Office on January 12, 2001, as evidenced by a return postcard bearing the PTO's date stamp. True and correct copies of the foregoing papers and return postcard are enclosed herewith.

By paper mailed on May 11, 2001, the Petition for Revival was dismissed on the grounds that the required reply to the Office Action of June 6, 2000, did not accompany the petition. However, as stated above, a Request for Continued Examination did, in fact, accompany the Petition, therefore the Petition for Revival should have been granted, and reconsideration is respectfully requested.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,



Michael E. Attaya
Reg. No. 31,731
CESARI AND MCKENNA, LLP
88 Black Falcon Avenue
Boston, MA 02210-2414
(617) 951-2500

File No. 101102-02
Date Mtd. 1-13-01
Date Due: 12-16-00
Serial No. 091053,259 Filing Date: 2/13/98 Group A Unit 2249
Applicant(s): Wallace A. Ritchie, et al.
Title/Mark: Method and Apparatus for Chinese Character Text Input

Hon. Commissioner of Patents and Trademarks:

We are forwarding herewith

☐ Patent Application (pages
including claims)

☒ Declaration and Power of Atty.

☐ Assignment Transmittal Letter

☐ Drawing (sheets)

☒ Check No. 21582 for \$ 710.48

☒ Check No. 21583 for \$ 10.70

☐ Letter to Official Draftsman

☐ Information Disclosure Stmt.

☐ O-1449 and Copies of Refs.

☐ Response to Office Action

☐ Month Ext. of Time

☐ mark Application and

☐ specimens

☒ Request for Continued Examination

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Initials JMM

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MAY 20 2004

CESARI and McKENNA

File No. 101102-88

Date-Md: 1-22-01

Date Due: 12-10-00

Serial No. 21035,259

Applicant(s):

Title/Mart:

Filing Date: 2/13/98

Group: 1

RECEIVED

Hon. Commissioner of Patents and Trademarks:

We are forwarding herewith

Patent Application (— pages

including — claims)

Declaration and Power of Atty.

Assignment

Transmittal Letter

Drawing (— sheets)

Check No. 21582, 19583, 21584, 21585, 21586, 21587, 21588, 21589, 21590

Letter to Official Draftsman

Information Disclosure Stmt.

P.O. 1449 and Copies of Refs.

Response to Office Action

Month Ext. of Time

mark Application and

Continued Examination

Kindly have the mail room stamp this card and return it to us so that we may know that the above-indicated papers were duly received.

Initials

CESARI and MCKENNA

With/Without Certificate(s) of Mailing

File No. 101102-12
Date Mtd.: 1-2-01
Date Due: 12-1-00
Serial No. 0103239
Applicant(s): William A. Rich et al.
Title/Mark: Method and apparatus for online
commerce

Filing Date: 2/13/98 Group Art Unit: 2747

Hon. Commissioner of Patents and Trademarks:

We are forwarding herewith

Patent Application (— pages
including — claims)

Declaration and Power of Atty.

Assignment

Drawing (— sheets)

Check No. 21582 for \$ 316.10

Check No. 21583 for \$ 19.40

Letter to Official Draftsman
Information Disclosure Stmt.
PTO-1449 and Copies of Refs.
Response to Office Action
Pet. — Month Ext. of Time
mark Application and

X specimens
Request for Continued Examination

Kindly have the mail room stamp this card and return it to us so that we may know that the above-
indicated papers were duly received.

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BOSTON, MA 02210
(617) 951-2500

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DATE

AMOUNT

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ESARI AND McKENNA, LLP

21583

FEE TRANSMITTAL

MAY 20 2004

Complete If Known

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 1,950

Application Number 09/023,259
Filing Date February 13, 1998
First Named Inventor Wallace A. Ritchie et al.
Examiner Name Thomas, J.
Group / Art Unit 2747
Attorney Docket No. 101102-0002

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

03-1237

Deposit
Account
Name

Cesari and McKenna, LLP

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. §§1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	710	201	35	Utility filing fee	
		106	320	206	160	Design filing fee	
		107	490	207	245	Plant filing fee	
		108	710	208	355	Reissue filing fee	
		114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)							0

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
20**	3**		0	0	0

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205	65	Fee Surcharge - late filing fee or oath	
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
		139	130	139	130	Non-English Specification	
		147	2,520	147	2,520	For filing a request for reexamination	
		112	920	112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840	113	1,840*	Requesting publication of SIR after Examiner action	
		115	110	215	55	Extension for reply within first month	
		116	390	216	195	Extension for reply within second month	
		117	890	217	445	Extension for reply within third month	
		118	1,390	218	695	Extension for reply within fourth month	
		128	1,890	128	945	Extension for reply within fifth month	
		119	310	219	155	Notice of Appeal	
		120	310	220	155	Filing a brief in support of an appeal	
		121	270	221	135	Request for oral hearing	
		138	1,510	138	1,510	Petition to institute a public use proceeding	
		140	110	240	55	Petition to revive - unavoidable	
		141	1,240	241	620	Petition to revive - unintentional	1,240
		142	1,240	242	620	Utility Issue fee (or reissue)	
		143	440	243	220	Design Issue fee	
		144	600	244	300	Plant Issue fee	
		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Petitions related to provisional applications	
		126	240	126	240	Submission of Information Disclosure Stmt	
		581	40	581	40	Recording each patent assignment per property (times number of properties)	
		146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
		149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other (specify) Request for Continued Examination							710
Other fee (specify)							
SUBTOTAL (3) (\$							1,950

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or
Printed Name

Brian C. Dauphin

Signature

B. C. Dauphin

Date

January 12, 2001

Complete (if applicable)

Reg. Number

40,983

Deposit Account User ID



Approved for use through 10/31/2002. OMB 0651-0031
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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/023,259
Filing Date	February 13, 1998
First Named Inventor	Wallace A. Ritchie
Group Art Unit	2747
Examiner Name	Thomas, J.
Attorney Docket Number	101102-0002

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 710.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	BRIAN C. DAUPHIN	Registration No. (Attorney/Agent)	40983
Signature		Date	1/12/01

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)		Date	
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.